

SWIFT security attestation: Meet this year's deadline

Has your organisation conducted an independent assessment?

Background

- SWIFT has revised counterparty requirements to include an independent assessment as part of the **annual counterparty attestation process**
- A SWIFT attestation is an assessment that ensures organisations meet satisfactory compliance levels against the SWIFT Customer Security Controls Framework (CSCF) as part of the mandated Customer Security Program (CSP), founded on industry accepted principles (**PCI-DSS, ISO27001** and **NIST**)
- All counterparties must attest before the expiry date of the current control's version, confirming full compliance with the mandatory security controls no later than **31 December each year**
- The independent assessment can be performed internally by qualified (e.g., QSA, CISSP, CISA, etc.) internal individuals and/or external SWIFT CSP Certified Assessor(s).

STEPS TO COMPLIANCE

Protiviti's Certified Assessors and SWIFT professionals can help your organisation address the SWIFT independent assessment with our experience in working with various SWIFT counterparties locally and internationally. Protiviti can assist you in steps 1, 2 and 3 of the following key steps towards SWIFT CSP controls compliance.

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| 1 | Perform SWIFT CSCF readiness assessment |  | 2 | Remediate identified gaps in mandatory controls and/or implement best practice advisory controls |  |
| 3 | Perform independent assessment against SWIFT CSCF |  | 4 | Submit SWIFT attestation through KYC-SA portal |  |

HOW CAN PROTIVITI HELP?



Secure your environment

Perform an independent or joint assessment in step 3 of the lifecycle. To analyse current control environments to determine if controls satisfy SWIFT CSP requirements and allow customers to submit their Know Your Customer – Self Attestation (KYC-SA).



Strategy and implementation

Assist SWIFT counterparties with remediation of identified gaps in mandatory controls (refer to appendix for a structured breakdown of mandatory controls) or implementing best practice advisory CSP controls within their SWIFT environment and strategic transitions..



Independent or co-source assessment

Perform the independent assessment leveraging an outsourced or co-sourced delivery model.

CONTACT US

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