

SAP AUDIT TRAIL CONTINUOUS CONTROL MONITORING

Enabling Compliance
with Rule 11(G)



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Our service will help the organization in implementing our custom audit Log notification solution for SAP systems to ensure compliance with the Rule 11(g) Audit Trail MCA guidelines.

OUTCOME OF SAP AUDIT TRAIL CONTINUOUS CONTROL MONITORING

Evolving Customer Behaviors and Expectations

1. Real Time Analysis

As the first step towards enhancing security and getting the system compliant we must enable the audit logs within our SAP System. The point here is that even post enabling the audit logs in the system we still must keep monitoring the effectiveness of logging mechanisms. To ensure regular monitoring, we must perform regular checks & proactive ways to make sure that the audit logs are enabled and protected throughout the audit period.

2. 360 Degree Monitoring

Protecting our financial log in the audit period is a common concern. Once the log is created, without proper safety measures, they can be potentially closed - to unsecure the SAP system for unsafe and unsecured changes.

3. Prevents Non-Compliance

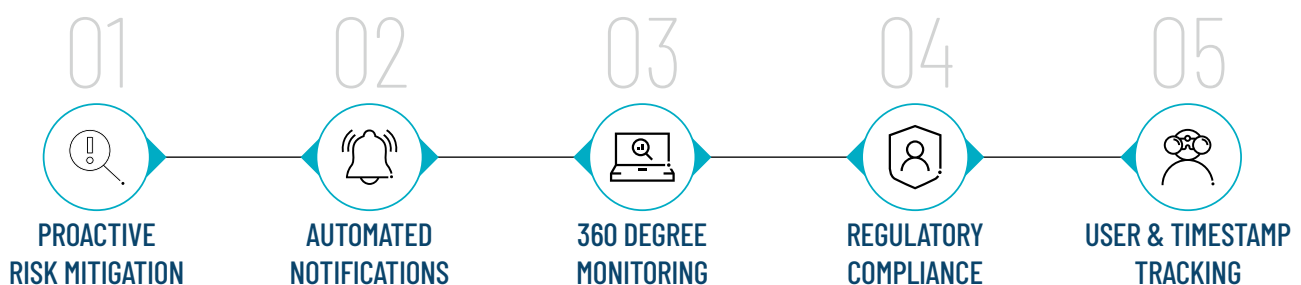
The solution protects your ability to stay compliant through the Financial Year after activating Audit Trail in your SAP ERP. The Solution performs continuous monitoring of the Audit Trail and alerts whenever any changes are made

4. Automated Detailed Report

Our custom solution will perform a comprehensive review of the SAP system at regular intervals, based on your specified schedule. We shall give automated reports and send email notifications highlighting the changes which are made to the audit configurations which will ensure a proactive approach in monitoring and detection for the audit logs generated.

BENEFITS OF CONTINUOUS CONTROL MONITORING

This custom arrangement upgrades security and compliance by giving a clear and auditable record of any changes made to our review log settings. It guarantees that alterations are closely checked, empowering provide distinguishing proof and determination of unauthorized or inadvertent changes.



WHAT SETS US APART

Proactive Risk Mitigation

The solution proactively identifies unauthorized deactivation of audit logs, reducing the risk of unmonitored changes and potential fraud—especially in sensitive financial data—by enabling early detection and response.

360 Degree Monitoring

The solution offers flexible scheduling options and dynamic recipient management, enabling it to align seamlessly with the organization's specific audit cycles and reporting requirements. Whether audits are conducted daily, weekly, or monthly, the program can be configured to run accordingly.

User & Timestamp Tracking

The solution automatically captures critical information regarding any changes made to audit logs, including the identity of the user (user ID) responsible for enabling or disabling the logs, as well as the precise timestamps of these actions.

Automated Notifications

The solution will produce automated alerts and will send email notifications providing summarization of the changes like table modifications, data elements, or any audit log configurations. These reports are designed to provide stakeholders with timely, actionable insights, highlighting key changes and potential risks.

Regulatory Compliance

The enhancement plays an important part in ensuring the adherence for Rule 11(g), which orders that organizations must track and defend financial records and logs from tampering. By automating the checks and the checking and detailing of review log action, counting changes to basic monetary information and framework arrangements.

IN CLOSING

His solution provides a strong, automatic approach to ensure the integrity and conservation of the important audit log, making it a useful tool for organizations, which is preserved to be protected for any important financial information and rescue for any important financial information along with regulatory requirements.

To detect unauthorized log inactivity, submit wide tracking of modifications, and allow well -timed reporting, enables reduce risk and preserve compliance with industry standards. It's easy to configure and customize scheduling and reporting features to make sure that key stakeholders are continually knowledgeable and might act quick, lowering potential vulnerabilities and helping a lifestyle of transparency and considering economic facts management.

ABOUT PROTIVITI

Protiviti (www.protiviti.com) is a global consulting firm that delivers deep expertise, objective insights, a tailored approach and unparalleled collaboration to help leaders confidently face the future. Protiviti and its independent and locally owned member firms provide clients with consulting and managed solutions in finance, technology, operations, data, digital, legal, HR, risk and internal audit through a network of more than 90 offices in over 25 countries.

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Acknowledgement

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MS_135181_July2025