

Compliance training

Compliance training is a key element in any effective risk management program. With the dynamic nature of financial crime, it is imperative to run a robust training program for all regulated financial institutions.

All good training programs should consider the role of the individual, have tailored content, and ensure the method and delivery fit the audience.

Programs should incorporate an assurance layer to measure the retention of the content delivered as well as an audit trail of all mandatory modules required as per regulation and company policy.

Protiviti can support you across all areas of your compliance training needs from course creation, training delivery and training effectiveness testing.

Objectives for Protiviti support



Garner the following benefits with our compliance training programs:

High quality and tailored course content

Flexible delivery with expert trainers

Full administrative support

Full trail for audit purposes

Contact Us



Rana Datta, Managing Director
rana.datta@protiviti.com



Gregor Neveling, Director
gregor.neveling@protiviti.com



Courses offered



- AML/CFT
- KYC/CDD
- Sanctions compliance
- Proliferation finance
- Anti-bribery & corruption
- Trade based money laundering
- Crypto & AML
- FATCA/CRS

All content can be tailored to your needs.

Delivery channels



- In person classroom training
- Virtual classroom training (Teams, Zoom, Google Meet, Webex, BlueJeans and also in the metaverse)
- Online computer-based-trainings (on our LMS or yours)

Knowledge test & certification



The course will end with a knowledge check to test participants have understood the content of the training. After scoring the minimum passing rate of e.g. 80%, participants can print out their certification of completion.

Audit reporting package



Evidence of course completion of users including knowledge test scores and time stamps for local regulators and correspondent bank relationships. This includes documents with e-learning content (slides) plus a list of questions of the knowledge checks.