protiviti[®] Global Business Consulting



REAL-TIME IDENTIFICATION OF CONTROL FAILURES

PROTIVITI CONTROLS EXCELLENCE APP

The next generation of Controls Excellence is here! The Protiviti Controls Excellence App for Celonis provides comprehensive and holistic integration of data analytics into all assurance phases. The app is based on our proven risk and control methodology. It leverages your own Risk Control Matrix (RCM) that forms the basis for determining the analytical control tests and performs detailed test analyses to take the right actions at the right time.

How We Help You Succeed

We deliver Controls Excellence for your organization by finding the right balance between controls and efficiency. Benefit from Protiviti's extensive experience in controls assurance, with goldstandard RCMs from our SOX in-a-box framework, which also includes analytical testing.

protiviti	Risk	Control	Test	Results		
# Analytics Tests Total	R-01 Purchases Authorization	PTP-01	AT-100	Cases Applicative	Sexta Passed	
29 69,759	Purchases of goots, services, or engloyee travel and may not be authorized by the company.	Purchase orders (IPQ) are approved by appropriate established in the Delegation of Authority (IDGA) Policy.	The purchase order liters has no purchase requisition. Note This is legitimate behavior for some cases.	100%	54%	
			AT-102			
tourPolitike 114M €			The "Deate Purchase Requisition" activity is followed by the "Deate Purchase Onder" activity without the "Approve Purchase Requisition" artifying Interference, Native This is highlimate behavior for some cases there is an approval microwerk.	Cases Applicable 54%		
Beest Q			AT-110	Casari Annihuatria	47-110	
Time Filter			The PO item set value does not match the PE net value.	54%	64%	
Start Date C		PTP-02	AT-300			
Company Date •		For purchases not requiring a purchase order IPOL the infated expenditures are approved by appropriate in the Delegation of Authority (DOA) Policy.	Goods are received before the purchase order is created. Applicable case contains the activity 'Record Goods Receipt'.	Cases Applicatile 68%	Seata Passed 100%	
Material Group	R-02 Recording of Liabilities	PTP-04	AT-311			
Document Type	Liabilities for goods received but not invoiced by the recorded in the general ledger.	When items are marked received, the system automatically journal entry to accrue for the liability. This is reversed	When poods are received, a journal automatically creates an accrual the liability. Note Applicable if we can track journal entries.	Cases Applicable 88%	Tests Passed	
Vendor Name 🔍		booked.		00%	00%	
	R-03 Three-Way Match	PTP-03	AT-310			
	Journal entries for involces received do not agree to based on goods / services received.	Accounts Payable performs a 2 or 3 way reach between order, receiving report, and vendor levitice prior to the general ledger,	The activity 'doods Received' occurs although the activity 'involue Received' never occurs.	Cases Applicable 68%	Tests Passed 85%	
			AT-312			
			When an invoice is received, the accrual journal, created after a goods incomed, is inversed. For every ORV there should be a journal peckel recording an accruation the wake of the pools reserved. Once an is received, this journal should be reduced.	Cases Application 44%	Tests Passed 82%	
			AT-430			
			The activity "Invoice Postad" occurs although the activity "Doods	Ceses Applicable	Sesta Passed	

Key Features

- Automatic detection of control failures: transition from descriptive to prescriptive analytics.
- Provides data-driven visibility and enables monitoring of critical controls against established thresholds over time.
- Promotes intelligent and recommended actions to address the root cause of control failures.

Business Benefits

- Convenient subscription fee to use the Protiviti Controls Excellence App that scales with your requirements.
- Fully integrates with Celonis functionality: Celonis' automation capabilities (e.g. Action Flows) are used to obtain the desired behavior after a failed analytical test.
- Includes automatic dashboard creation and observation management support.
- Fast and competent support by phone or by mail during the subscription period.







Protiviti advises companies in a hands-on way and on a par in the areas of strategy, organizational transformation and optimization, ESG, digital transformation, risk management, internal audit and control systems, compliance, and IT. Together, we find individual solutions to position your company for years to come. Face the Future with Confidence.

Our Experience and Services

We have successfully managed over 150 process mining engagements worldwide. Our global implementation experience spans different regions, industries, systems and processes.

Protiviti has been recognized by Consulting Magazine as one of the "Top 15 Best Firms to Work For" for more than seven consecutive years and is also on the "Fortune 100 Best Companies to Work For" list. Protiviti is a wholly owned subsidiary of Robert Half.

Your Choice

+

SUBSCRIBE WITH EXISTING CELONIS LICENSE services include:

Deployment of the app for one Risk Control Matrix and one system

- + Up to 30 analytical tests free of charge
- Up to 5 person-days business support for troubleshooting and minor test adjustments
- ⊦ € 750 monthly per process (3-year period) plus VAT

TRY IT OUT WITHOUT EXISTING CELONIS LICENSE services include:

- + Implementation of one process in Celonis for one system
- + Deployment of the app for one Risk Control Matrix with up to 15 analytical tests
- Celonis C4C+ Execution license included (6 months of free access, chargeable thereafter)
 - € 50,000 one-time fee plus VAT

CHECKLIST WHY CHOOSE PROTIVITI?

✓
PARTNER SOLUTION
OF THE YEAR 2021

IOO+ CERTIFIED CELONISPROFESSIONALS

CERTIFIED FOR "TRAIN THE TRAINER"

♥PROTIVITI SOLUTIONDESIGN TEMPLATES

✓
150+ PROCESS MINING
ENGAGEMENTS



Contact:



ERWIN DE MAN Managing Director +49 172 615 09 85 erwin.deman@protiviti.de



TOBIAS NOWAK Managing Director +49 174 343 7875 tobias.nowak@protiviti.de

> +49 69 963 768 100 contact@protiviti.de www.protiviti.de

