



Cory Gunderson

Executive Vice President
Global Solutions

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Areas of Expertise

- Credit Risk
- Operational Risk
- Model Risk
- Enterprise Risk Management
- Due Diligence
- Internal Audit

Education

- MBA in Finance/Marketing, University of Chicago
- BS in Accounting, DePaul University

Professional Experience

Cory Gunderson is executive vice president of global solutions. He oversees and coordinates the firm's range of consulting practices, including internal audit and financial advisory, digital transformation, technology consulting, risk and compliance, business performance improvement, managed business services, and data management and advanced analytics. Gunderson works with the firm's solutions leadership team to continue to advance and develop Protiviti's delivery capabilities to help clients solve increasingly complex challenges. In addition, he is responsible for overseeing the firm's innovation investments.

Gunderson has been with Protiviti since its inception in 2002. He previously served as the firm's global financial services industry leader and prior to that in progressive solution leadership roles culminating as the leader of Protiviti's global risk and compliance practice. With more than 25 years of experience advising clients, Gunderson's expertise is focused primarily on the risk management and compliance spectrum, with a specific focus on banks, insurance companies, specialty finance and other financial institutions. He has served as the global account executive for several of Protiviti's most significant client relationships throughout his career.

In 2009, Gunderson was recognized by Consulting magazine as one of its Top 25 Consultants for Excellence in Financial Services. He holds an MBA in finance/marketing from the University of Chicago and a bachelor's degree in accounting from DePaul University. He is a CPA (inactive) and a member of The Institute of Internal Auditors. Gunderson is based in Protiviti's Chicago office. He serves on the advisory board for DePaul University's Arditti Center for Risk Management and on the board of trustees of the Museum of American Finance in New York City.

Major Projects

- Significant experience assessing, designing and implementing all aspects of Risk Management. Has led engagements designing and implementing enhanced board and executive level risk reporting, creating innovative risk metrics and monitoring processes, advising on organizational structure and governance models, and enhancing specific risk management capabilities including operational, market risk and credit risk. Benchmarked organizational structures (functional and staffing adequacy) against market participants/peers and advised on future state design.
- Engagement leader for a comprehensive design and implementation of a data governance and quality function for a Top 10 U.S. bank. Engagement involved designing an overall data governance framework, identifying and prioritizing key data elements, defining roles and responsibilities, designing interaction between the three lines of defense, creating a common definition for data quality, overseeing creation of data lineage for all essential data elements, developing organization-wide training materials and designing and implementing data quality scorecards by element.
- Co-led remediation effort at a large financial institution covering holistic compliance program assessment, redesign and implementation. Engagement encompassed all areas of compliance including, but not limited to technology infrastructure, headcount/staffing adequacy, risk assessment methods, testing strategies and methodologies, regulation monitoring and change management and executive / board reporting.
- Designed and led multiple implementations of innovative risk reporting tool (the Risk Index), applied to the areas of large bank operations, customer engagement/management and in quantifying model risk across an enterprise.



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Industry Expertise

- <<Placeholder>>

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Major Projects (contd)

- Designed and implemented the risk measurement processes, tools, methodologies and reporting for the Credit, Liquidity and Interest Rate risks for a multi-billion dollar insurance company, within the client's risk management framework.
- Engagement managing director over several model development and validation engagements focused on market risk, operational risk management, credit risk, stress testing models and economic capital at institutions ranging from \$5 Billion to \$1 Trillion+ in assets.
- Overall engagement managing director on hundreds of lender due diligence reviews, focused on key operational processes at mortgage origination and servicing organizations, auto finance lenders, credit card companies, bad debt purchasers and collection agencies..
- Served as overall engagement relationship as well as execution leader over multiple internal audit relationships with Top 25 banks, working closely with Chief Audit Executives and their leadership teams on a variety of matters including providing subject matter expertise in the areas of retail mortgage lending, regulatory matters, model risk governance, change management, credit risk reviews and a variety of other risk domains.
- Advised and assisted in design of several risk management functions within a variety of financial services organizations, ranging from \$8bn community bank to \$200bn+ financial services organizations. Led design of common risk model / language, organization structure, roles/responsibilities, governance, as well as management and board reporting.