

Protiviti's Governance Portal for Financial Controls

The Governance Portal for Financial Controls provides a cost effective means to report on internal controls over financial reporting to comply with various regulations. An easy to use interface, “out-of-the-box” frameworks, a risk based approach and effective testing and workflow capabilities jump start your program.

Manage Financial Controls Efficiently with Technology

The Governance Portal for Financial Controls serves as a repository for all internal control documentation, evaluation and testing to comprehensively manage the key components of a sustainable financial reporting compliance program.

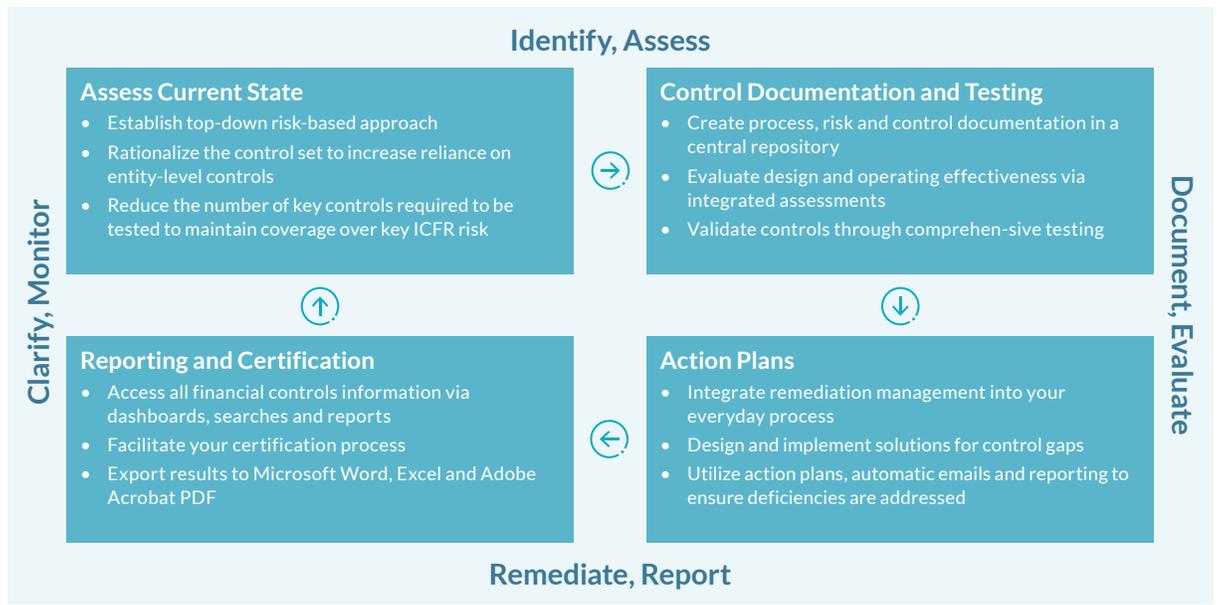
Protiviti named as a Strong Performer in “The Forrester Wave™: Governance, Risk, and Compliance Platforms, Q1 2016” by Forrester Research, Inc. and positioned as a Challenger by Gartner Inc. in the December 2016 Magic Quadrant for Operational Risk Management Solutions.

Financial Dashboard — Review aggregate information regarding your financial accounts and evaluation information

Financial Element		Objective		Risk				Control	
Name	Priority	Objective Evaluation	#	Control Adequacy	#	Control Design	#	Operation Evaluation	#
005. Mortgage Portfolio									
Loans Held for Investment	3	Achieved	3	Mitigated Not Evaluated Not Mitigated	1 1 1	Effective Ineffective	2 1	Effective Ineffective	5 2
010. Cash and Cash Equivalents									
*Cash and Cash Equivalents	1	Achieved	8	Mitigated Not Evaluated	26 1	Effective Ineffective	26 1	Effective Ineffective Not Tested	38 7 1
020. Accounts Receivable									
01. *Accounts Receivable	1	Achieved	8	Mitigated Not Evaluated	26 1	Effective Ineffective	26 1	Effective Ineffective Not Tested	38 7 1
020. *Deferred Income Taxes, Other Current Assets	2	Achieved	5	Mitigated Not Evaluated	24 1	Effective Ineffective	24 1	Effective Ineffective Not Tested	32 6 1

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Track Key Controls and Compliance Progress



The Governance Portal for Financial Controls enables you to:

- Reduce the number of key controls required to be tested to maintain coverage over key ICFR risk
- Drive acceptance and ownership across the enterprise while establishing a more balanced and efficient test plan
- Reduce redundancy and enhance collaboration by sharing process, risk and control documentation
- Create a holistic view of your control environment across all aspects of your organization, processes and IT systems
- Provide management with control assurance dashboards and reports
- Increase your ability to identify gaps and weaknesses that place your organization at risk
- Simplify the follow-up and monitoring of deficiencies to ensure issues are addressed

To schedule a demo of the Governance Portal for Financial Controls, email us at grc@protiviti.com or visit us at www.protiviti.com/grc-software.

The reporting capabilities in the Governance Portal have made it easier to satisfy our reporting requirement while providing the SOX team and senior management with a much clearer picture of the risks facing the organization.

- Global Sarbanes Oxley Manager, Global manufacturing company

Protiviti is a global consulting firm that delivers deep expertise, objective insights, a tailored approach and unparalleled collaboration to help leaders confidently face the future. Protiviti and our independently owned Member Firms provide consulting solutions in finance, technology, operations, data, analytics, governance, risk and internal audit to our clients through our network of more than 70 offices in over 20 countries.

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